



# Supporting Open Access for Monographs

LIBER conference  
3 July 2014, Riga

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OAPEN Foundation



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- Deposit service
- Benefits
- First participants
- Pilots with universities

# OAPEN Foundation

- Dedicated to OA books
- OAPEN Library
  - Hosting full text collection of OA books (+ chapters)
  - Only peer reviewed content
  - 65+ publishers, 2200+ books
  - Increasing visibility, discoverability, usage
- Main focus areas:
  - Quality assurance
  - Aggregation and Deposit
  - Discovery and Dissemination



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Peer Review

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### Browse the library

All  
Title  
Author

### Top subjects

Society and social sciences  
Humanities  
Economics, finance, business  
and management  
The arts  
Law  
Literature and literary  
studies  
Language  
Earth sciences, geograpy,  
environment, plannings  
History

## Welcome to OAPEN

### online library and publication platform

OAPEN (Open Access Publishing in European Networks) is a collaborative initiative to develop and implement a sustainable Open Access publication model for academic books in the Humanities and Social Sciences. The OAPEN Library aims to improve the visibility and usability of high quality academic research by aggregating peer reviewed Open Access publications from across Europe.

Search the library

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## Featured titles



New Germans, New Dutch :  
Literary Interventions  
Amsterdam University Press



Sex and Gender in Biomedicine  
Universitätsverlag Göttingen



Partiti e gruppi parlamentari  
nell'ordinamento spagnolo  
Firenze University Press



Changing anarchism: Anarchist  
theory and practice in a global  
age  
Manchester University Press



Smag for etik (Vol. 4): På  
sporet efter fødevareetikken  
Museum Tusulanum Press



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**1589** Academic peer-reviewed books  
from **54** publishers

**News** [Register for newsletter](#)

- 2013-07-08 [Video of the official launch of DOAB](#)
- 2013-06-17 [DOAB selected as 'Best Free Reference Web Site'](#)
- 2013-05-21 [Announcing the official launch of the Directory of Open Access Books](#)
- 2013-05-21 [Open Access Monographs in the Humanities and Social Sciences Conference](#)

[Archive](#)

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BRILL





- Deposit service
- Full text
- Free + OA
- Focus on HSS

Aim:

- Deposit service for OA books



- Discovery service
- Metadata only
- OA only
- All disciplines

Aim:

- Authoritative list of OA book publishers

# Deposit service: aims

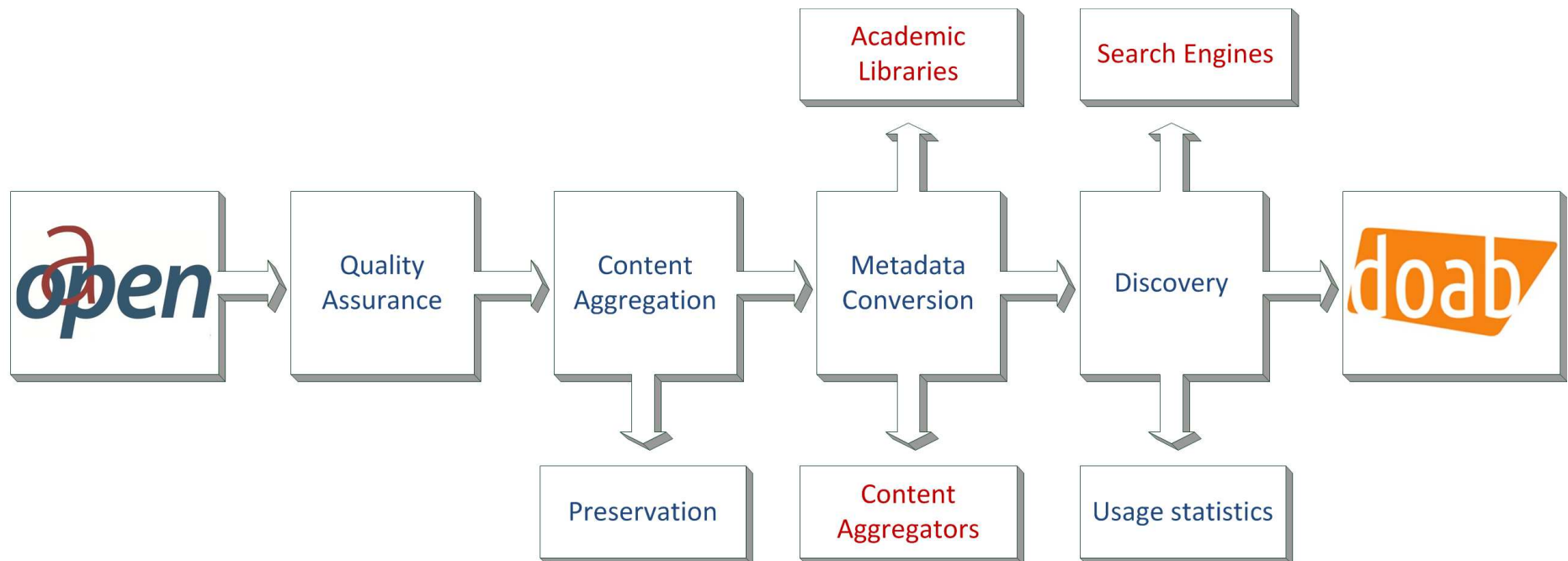
- Support research funders' and institutional policies for OA monographs
- Provide a central infrastructure for services in the areas of dissemination, quality assurance and digital preservation
- Become the central, trusted repository for OA monographs
- Aggregate OA monographs from publishers
- Help establish and maintain standards and requirements for the effective publication, discovery, access, dissemination and preservation of OA books

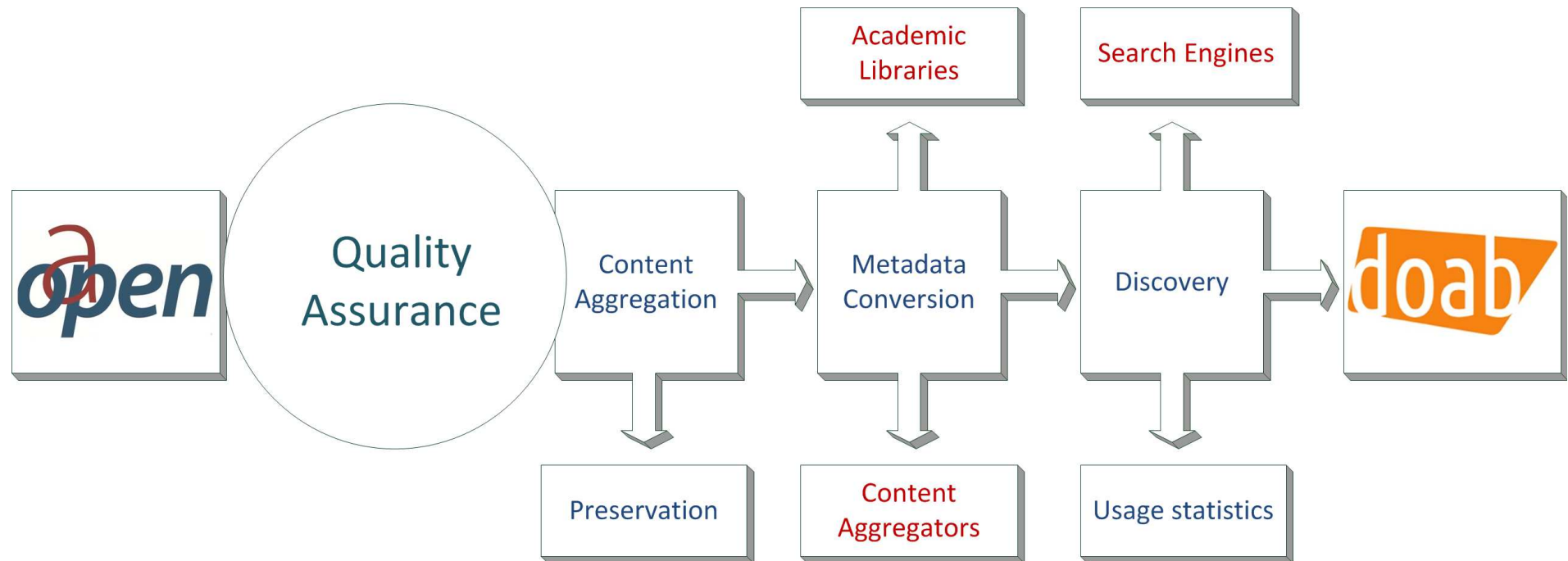
# Relevance to libraries

1. Libraries play a vital role in the Humanities
2. Libraries struggle with OA content:
  - Finding and establishing quality of OA content
  - Providing access and integrating into normal discovery and supply systems
3. Libraries take on new roles
  - Supporting OA to research output
  - Providing publishing services for their institution
  - Including OA books in IR's



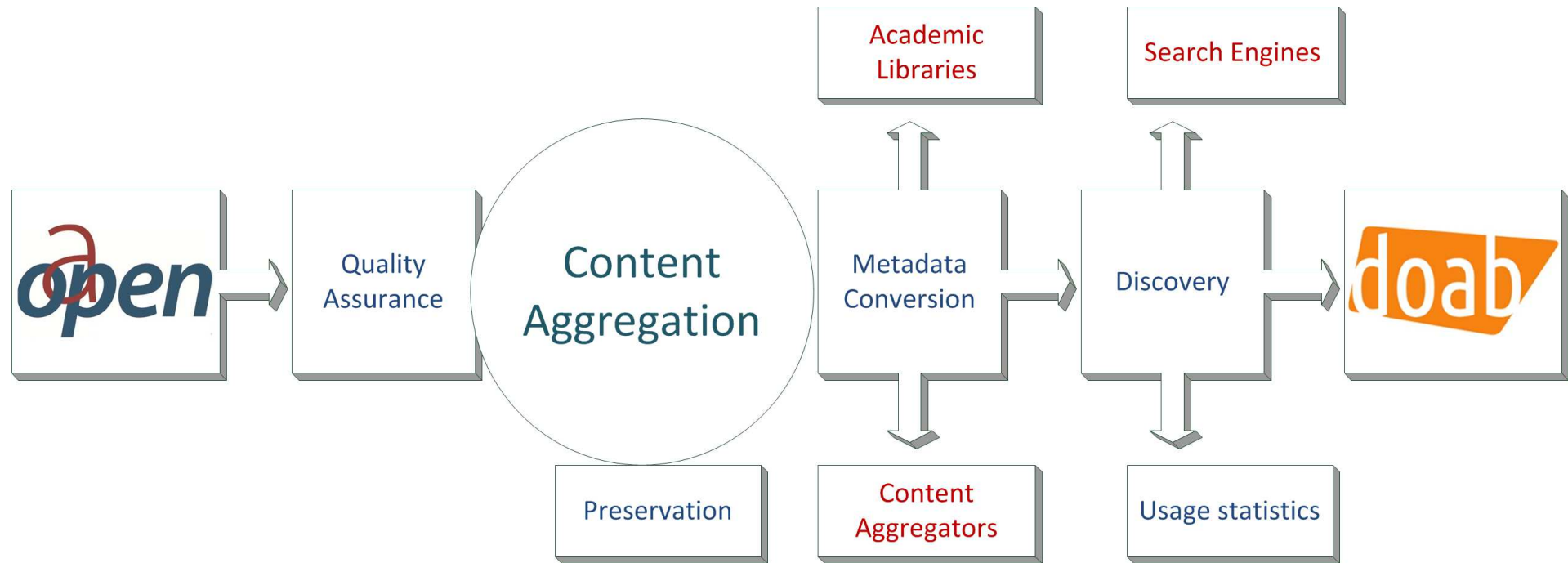
# OAPEN Deposit service





### *Quality assurance*

- Publisher peer review procedures
- Standards and requirements (with OASPA)
- Metadata enhancement (DOI, ORCID, grant information, related research data)
- Compliance check (option)

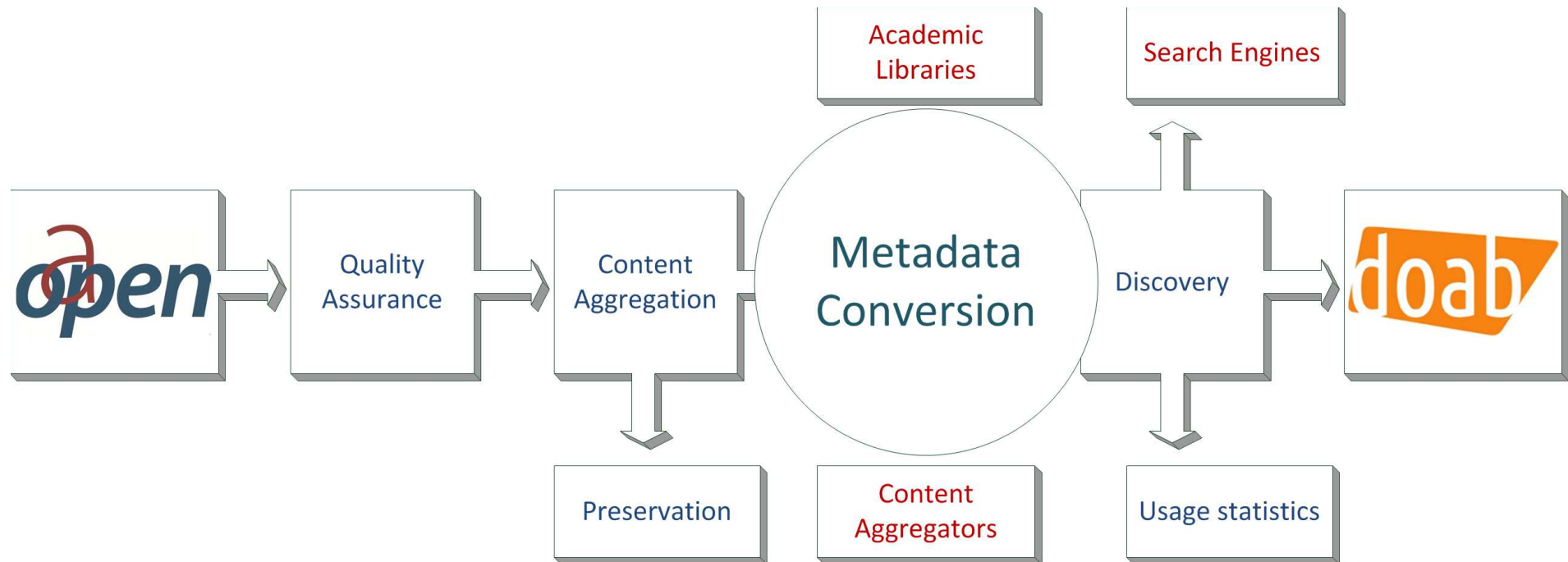


### *Content aggregation*

- OAI harvesting, FTP bulk uploads, online uploading
- PDF and TEI XML

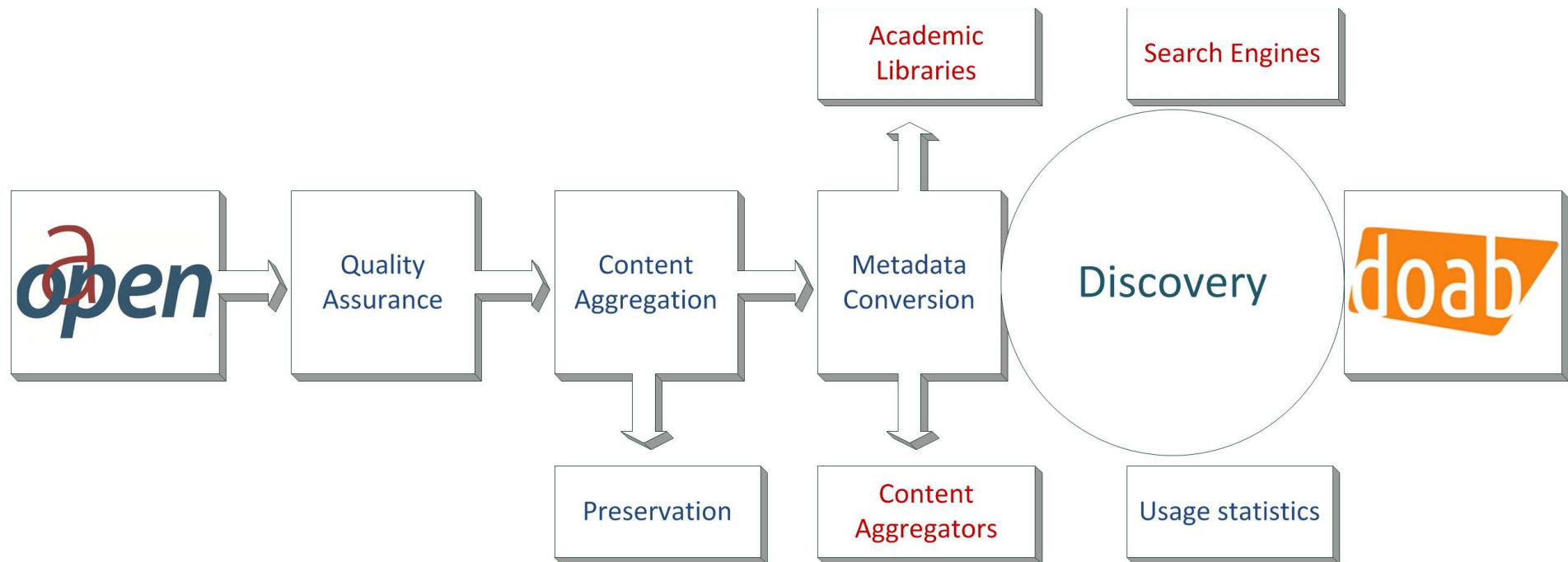
### *Preservation*

- NL National Library e-depot
- + second partner: CLOCKSS



## *Metadata conversion*

- Daily feeds: ONIX 2.1 and 3.0, MARC XML, CSV, MARC 21 in preparation
- Integration into Library catalogues
- Library services: OCLC (WorldCat), ProQuest (Serial Solutions), ExLibris (Primo Central), Ebsco
- Aggregators: BASE, Europeana, Europeana Cloud



## *Discovery*

- Search engine optimization
- Automated export to DOAB

## *Reporting*

- COUNTER compliant usage statistics (with IRUS UK)
- Usage reporting and tracking service (grants)
- Online institutional access and content management

# Deposit service: benefits

- Increased discoverability and visibility of OA publications: increasing worldwide usage and impact
- Quality assurance of OA publications
- Standardization of OA publications regarding metadata and licensing
- Digital preservation and archival access
- Management information concerning usage, grants, related research data and OA publication fees
- Efficient integration into library catalogues and third party library services
- A central point of access for library consortia
- A platform for international co-operation on OA policies and standards for monographs

# Deposit service: benefits

## Central benefits:

- Integrate OA books in existing supply chains for monographs
- Improve supply chain where possible:
  - *Access to publications*
  - *Usage*
  - *Quality assurance*

# First participants

- **Netherlands:**
  - Netherlands Organization for Scientific Research (NWO)
  - the National Library (KB), the Netherlands Academy of Sciences (KNAW), a number of universities
- **Austria:**
  - Consortium of the Austrian Research Council (FWF) + a number of universities > Preparing a National License
- **United Kingdom:**
  - Wellcome Trust
  - Knowledge Unlatched
  - JISC Collections: pilot for UK universities
- **European Research Council (tbc)**



# Pilots with universities

- Joint projects:
  - Universities, Libraries, University Presses
  - OAPEN
- Set up centralised services for OA books
  - Develop and test services to support OA books
  - Develop workflow for deposit by libraries, publishers and authors
  - Conduct joint research
  - Raise awareness among all stakeholders
- In preparation:
  - UK: with JISC Collections (in consultation with SCONUL, RLUK)
  - Germany: under consideration (proposal for DFG)

# Challenges

- Developing funding models for Gold OA books
- Establishing a Green route for OA books
- Consistent licensing procedures and limited licensing options
- Measuring the impact of OA books
- Convincing the Humanities of the benefits of OA

# Questions?

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[www.doabooks.org](http://www.doabooks.org)





# OAPEN

- 2008-2011: EU-project
  - 2010: Launch of OAPEN Library
  - 2011: Pilot projects exploring OA for books (OAPEN-NL, OAPEN-UK)
- 2011: OAPEN Foundation
  - 2012: Launch of DOAB
  - 2012: Collaboration with FWF
  - 2013: Partnership with Knowledge Unlatched
  - 2014: Establishing Deposit service (WT, JISC, ERC)

# Research output in HSS

- OA journals are on the rise: 45% of journals in DOAJ are in HSS disciplines
- But AHRC estimates just a third of research output is in the form of articles, two-thirds is books (Humanities)
- Monographs are the preferred genre
- Print is preferred for reading long texts
- E is growing for discovery and research

# Publication profiles

## RAE 2008: 3 classes of disciplines

	articles	chapters	books
sciences	~100%		
parts of HSS	~66%	~15%	~15%
parts of humanities	~35%	~25%	~40%

# Conventional monographs

Conventional monographs are losing sustainability:

- Libraries acquisition budgets under pressure
- Sales to libraries have been in steady decline
- Costs of monographs have gone up

Need for new models:

- OA increases discovery and usage
- OA may increase impact
- OA may contribute to sustainable models



# Authors need convincing

- Most HSS authors prefer printed book with prestigious press
- Online is secondary (although preferred for search, reference, certain research)
- Online is less trustworthy, less *credible*
- Author side charges associated with vanity publishing

> Quality is key

# OA models for books

*Online does not substitute print:*

- > Publishers choose a hybrid approach to OA books: OA + print
- > Most publishers prefer CC BY-NC licences as they need to recover costs of printed edition
- > Green OA is less feasible, may require longer embargo periods

# Business models for OA books

- Hybrid or dual edition publishing
- Institutional support
- Author side publication fee
- Library side models

# Business models for OA books

- Hybrid or dual edition publishing

All book publishers

- Institutional support

Majority: Mpublishing, Athabasca UP, ANU E press etc

- Author side publication fee

Growing: Palgrave Macmillan, Brill, De Gruyter, Springer, Manchester UP

- Library side models

New: Knowledge Unlatched, OpenEdition, Open Library for Humanities

# OA books gaining momentum

- Worldwide attention for OA monographs.
- OA monograph conference at the British Library, workshops and seminars everywhere
- Platforms and services supporting OA books:  
OMP, OpenEdition, OAPEN, DOAB, SciELO
- Established book publishers adopting OA:  
Palgrave Macmillan, Springer, OUP, De Gruyter, Brill
- New OA start ups: Amherst Press, Anvill Academic
- OA publication funds supporting books: WT, FWF, NWO
- OA mandates including books: H2020, ERC, ARC
- KU to launch first pilot for OA books

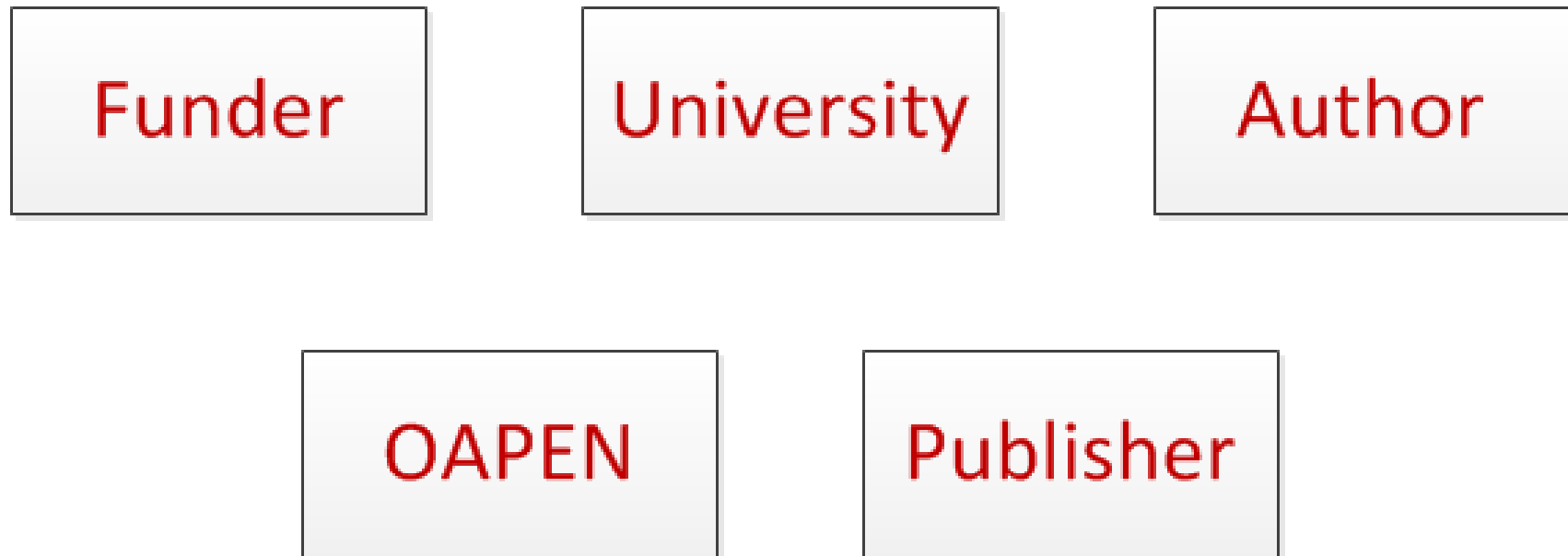
# Conclusions

- OA for monographs is gaining momentum
- Many examples and models
- Monographs require a different approach than journals
- Main barriers are cultural
- In the transition to OA, quality is a key

# OAPEN Business model

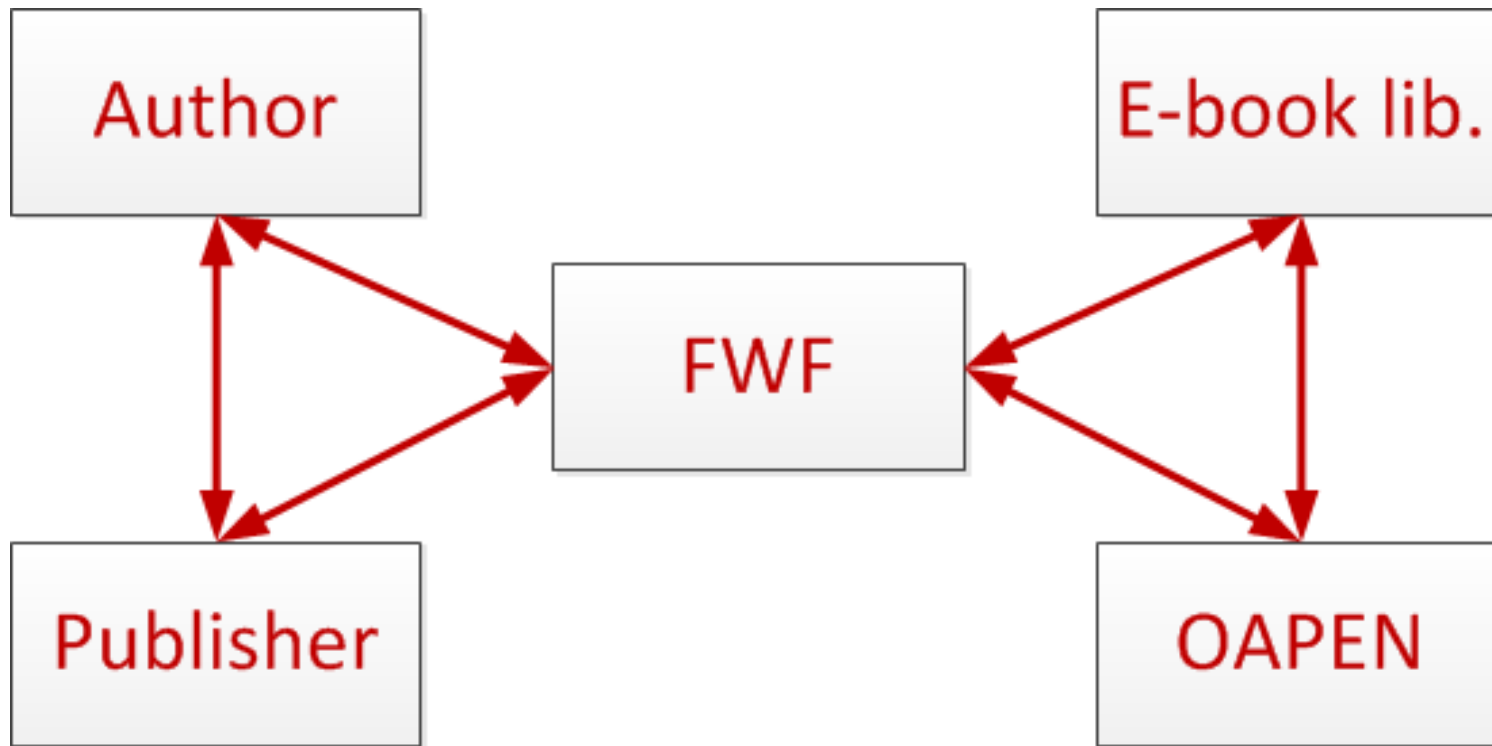
- Establishing Deposit service
  - transition from subsidy model to service based model
- Target groups:
  - research funders, library consortia, universities/libraries
- Annual fee:
  - based on percentage of research spending
- Membership options:
  - National license
  - Consortium
  - Single institution

# Deposit workflow

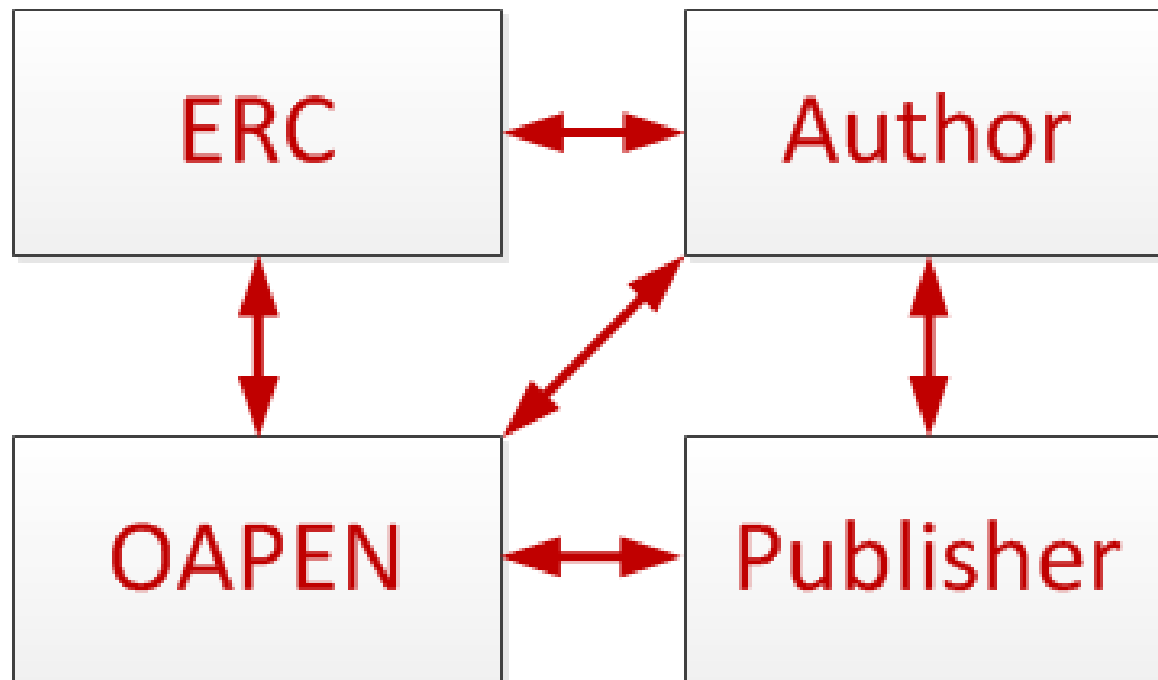




# Deposit workflow: FWF



# Deposit workflow: ERC



# Proposed workflow: benefits

- Monitor and report usage
- Capture data:
  - DOI
  - ORCID
  - Grant information
  - Research data
- Ensure compliance
- Promote transparency:
  - Review process
  - Licensing
  - OA charges

